

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#5
12
12-31-03

Application of: Alexei Nikitin

:

Examiner: Jerome Grant II

:

Serial No.: 09/921,524

:

Group Art Unit: 2626

:

Filed: August 3, 2001

:

Attorney Docket No.: 15252.3

:

For: METHOD AND APPARATUS FOR
ANALYSIS OF VARIABLES

:

Customer No.: 27,128

:

Confirmation No.: 6804

:

Notice of Allowance Dated:
October 7, 2003

:

RECEIVED

NOV 03 2003

Technology Center 2600

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
AFTER MAILING DATE OF NOTICE OF ALLOWANCE BUT
BEFORE PAYMENT OF ISSUE FEE UNDER 37 C.F.R. § 1.97(d)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Attention: Group Director

Sir:

TIME OF TRANSMITTAL OF ACCOMPANYING

INFORMATION DISCLOSURE STATEMENT

The Information Disclosure Statement transmitted herewith is being filed after a Notice of Allowance under 37 C.F.R. § 1.311, whichever occurs first, but before, or simultaneously with, the payment of the issue fee.

Inventor: Alexei Nikitin

Application Serial No: 09/921,524

STATEMENT, PETITION AND FEE

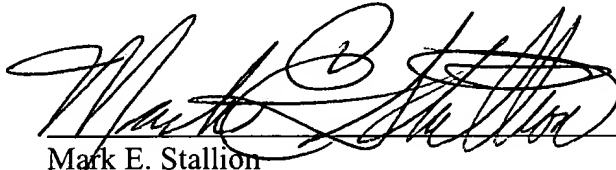
In accordance with the requirements of 37 C.F.R. § 1.97(d):

- a. Accompanying this transmittal is a statement, as specified in 37 C.F.R. § 1.97(e); and
- b. Applicant submits the petition fee set forth in § 1.17(p) (\$180.000).

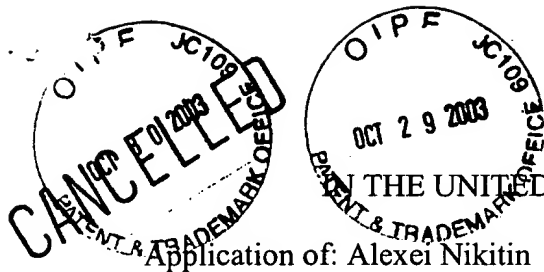
Respectfully submitted,

Date:

Oct 29, 2003



Mark E. Stallion
Reg. No. 46,132
Blackwell Sanders Peper Martin LLP
720 Olive Street, 24th Floor
St. Louis, Missouri 63101
(314) 345-6000
ATTORNEY(S) FOR APPLICANT



THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Alexei Nikitin

Serial No.: 09/921,524

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STATEMENT FOR INFORMATION DISCLOSURE UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Attention: Group Director

Sir:

This statement is being made for the Information Disclosure Statement accompanying
this statement.

I, the person signing below, hereby states that each item of information contained in the
information disclosure statement was first cited in a communication from an international or a
foreign patent office for a counterpart international or foreign application not more than three
months prior to the filing of the information disclosure statement. 37 C.F.R. § 1.97(e)(1).

The person making this statement is the practitioner who signs below on the basis of the
information in the practitioner's file. In particular, the International Search Report dated
September 30, 2003, attached hereto, as Exhibit A, cites two prior references as shown in the
information disclosure statement.

10/31/2003 CMGUYEN 00000111 110160 09921524

01 FC:1806 180.00 DA

STLD01-1040561-1

Statement for Information Disclosure Under 37 C.F.R. § 1.97(e)

Inventor: Alexei Nikitin

Application Serial No: 09/921,524

Respectfully submitted,

Date:

Oct 20, 2003

A handwritten signature in black ink, appearing to read 'Mark E. Stallion', written over a horizontal line.

Mark E. Stallion

Reg. No. 46,132

Blackwell Sanders Peper Martin LLP

720 Olive Street, 24th Floor

St. Louis, Missouri 63101

(314) 345-6000

ATTORNEY(S) FOR APPLICANT

10-30-03

PTO/SB/21

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

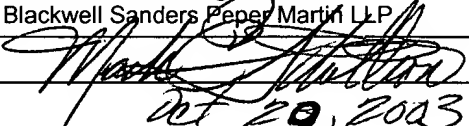
Total Number of Pages in This Submission 14

Application Number	09/921,524
Filing Date	August 3, 2001
First Named Inventor	Nikitin, Alexei V.
Group Art Unit	2626
Examiner Name	Grant II, Jerome
Attorney Docket Number	15252.3

ENCLOSURES (check all that apply)

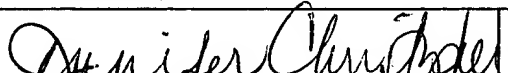
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below); Transmittal Of Information Disclosure Statement After Mailing Date Of Notice Of Allowance But Before Payment Of Issue Fee Under 37 C.F.R. § 1.97(D); Statement For Information Disclosure Under 37 C.F.R. § 1.97(E); Exhibit A; References Cited; and return postcard
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks: RECEIVED NOV 03 2003 Technology Center 2600	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	Mark E. Stallion, Reg. No. 46,132 Blackwell Sanders Peper Martin LLP
Signature	
Date	OCT 29, 2003

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: October 29, 2003 Express Mail Label No.: EV159190205US

Typed or printed name	Jennifer Christopher
Signature	
Date	10/29/03

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Complete if Known

Application Number	09/921,524
Filing Date	August 3, 2001
First Named Inventor	Alexei V. Nikitin
Examiner Name	Jerome Grant, III
Art Unit	2626
Attorney Docket No.	15252.3

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$180.00)

NOV 03 2003

METHOD OF PAYMENT (check one)

☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None

☒ Deposit Account: Order

Deposit

Account

Number

Deposit

Account

Name

11-0160

BLACKWELL SANDERS PEPER MARTIN LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fees indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
20**	X		
3**	X		
Multiple Dependent			

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity

Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	1155	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

SUBTOTAL (3) (\$180.00)

SUBMITTED BY

(Complete (if applicable))

Name (Print/Type)	Mark E. Stallion	Registration No. (Attorney/Agent)	46,132	Telephone	314-345-6000
Signature		Date	Oct 29, 2003		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer.